TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 03226/349001

In Re Application Of: Bryan M. CANTRILL
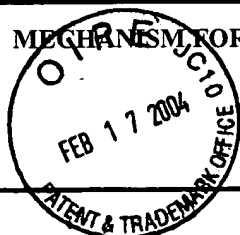
Serial No. 10/713,602	Filing Date November 14, 2003	Examiner	Group Art Unit

Title: MECHANISM FOR RING BUFFERING IN AN ARBITRARY-ACTION TRACING FRAMEWORK

Address to:
**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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MECHANISM FOR RING BUFFERING IN AN ARBITRARY-ACTION TRACING FRAMEWORK

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☐ A check in the amount of   is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No.   50-0591

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Jonathan P. Osha, Reg. No. 33,986

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INFORMATION DISCLOSURE CITATION *(Use several sheets if necessary)*	**Docket Number (Optional)** 03226/349001;SUN040251	**Application Number** 10/713,602
	Applicant(s) Bryan M. CANTRILL	
	Filing Date November 14, 2003	**Group Art Unit**

*EXAMINER INITIAL		OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*
		Tamches, Ariel and Miller, Barton P. Fine-Grained Dynamic Instrumentation of Commodity Operating System Kernels. [Online] http://www.usenix.org/publications/library/proceedings/osdi99/full_papers/tamches/tamches.pdf, Published February 1999.
	A2	Murayama, John. Performance Profiling Using TNF. [Online] http://developers.sun.com/solaris/articles/tnf.html, July 2001.
	A3	Lorch, Jacob R. and Smith, Alan J. The VTrace Tool: Building a system Tracer for Windows NT and Windows 2000. [Online] http://msdn.microsoft.com/msdnmag/issues/1000/VTrace/default.aspx, October 2000
	A4	Chapter 10. The Generalized Trace Facility (GTF). [Online] http://publib.boulder.ibm.com/cgi-bin/bookmgr/BOOKS/IEA2V100/CCONTENTS, January 2001
	A5	Mirgorodskii, Alex. The Path to Portable Kernel Instrumentation: The Kerninst API. http://www.dyninst.org/mtg2003/slides/KerninstAPI.pdf, April 2003.
	A6	Richard Moore, et al. IBM Dynamic Probes http://www-124.ibm.com/developerworks/opensource/linux/projects/dprobes/README, 2000
	A7	K. Yaghmour et al.; "Measuring and Characterizing System Behavior Using Kernel-Level Event Logging"; Proceeding of the 2000 USENIX Annual Technical Conference; June 18-23, 2000, San Diego, California, USA

EXAMINER	DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

P09B/REV04